



The Federal Reserve Bank of New York  
Statistics Function

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**FFIEC 009 Historic Reporting Changes**

Reflects changes made since March 2006

Date	Description
March 2006	<p data-bbox="569 756 772 784"><b>FFIEC 009</b></p> <ul style="list-style-type: none"><li data-bbox="569 837 1682 865">➤ "Cross-Border Claims: Total" (Column 4) was eliminated.</li><li data-bbox="569 911 1900 1084">➤ Added new columns, "Immediate-Counterparty Basis: Foreign-Office Claims on Local Residents in Non-Local Currency" by "Banks" (Column 4), "Public" (Column 5), and "Other" (Column 6).</li><li data-bbox="569 1130 1860 1305">➤ Re-captioned and renumbered, "Cross-Border Claims: Estimated Breakdown of Column (4) by Time Remaining to Maturity: One Year and Under" (Column 5) to "Immediate-Counterparty Basis: Breakdown</li></ul>

of Total of Columns 1 through 6: Remaining Maturity Up to and Including 1 Year" (Column 7).

- "Cross-Border Claims: Estimated Breakdown of Column (4) by Time Remaining Maturity of Over One Year to Five Years (Column 6) and "Over Five Years" (Column 7) were eliminated.
- Added a column, "Immediate-Counterparty Basis: Foreign-Office Claims on Local Residents in Local Currency" (Column 8).
- Re-captioned and renumbered, "Cross-Border Claims: Claims Reported in Columns 1-3 with Head Office/Guarantor Located in Another Country" by " Bank" (Column 8), "Public" (Column 9), and "Other" (Column 10) to "Redistribution of Claims to Adjust for Ultimate Risk: Outward Risk Transfers of Claims Reported in Columns 1 through 6 and 8 or on U.S. Residents" by "Claims on Banks" (Column 9), "Claims on Public" (Column 10), and "Claims on Other" (Column 11).

- Re-captioned and renumbered, "Cross-Border Claims: Redistribution of Guaranteed Amounts Reported in Columns 8-10 to Country of Head Office/Guarantor" by " Bank" (Column 11), "Public" (Column 12), and "Other" (Column 13) to "Redistribution of Claims to Adjust for Ultimate Risk: Inward Risk Transfers of Claims Reported in Columns 9, 10 and 11" by "Claims on Banks" (Column 12), "Claims on Public" (Column 13), and "Claims on Other" (Column 14).
- Moved and renumbered, "Net Due to (or Due from) Own Related Offices in Other Countries" (Column 14) to Column 4 on the new schedule, "Schedule 1a: Country Exposure Report- Foreign-Office Liabilities and Memorandum items".
- "Commitments" (Column 15), "Commitments in Column (15) Head Office/Guarantor in Another Country" (Column 16) and "Redistribution of Commitments in Column (16) to Country of Head Office/Guarantor" (Column 17) were eliminated.

- Added columns, "Ultimate-Risk Basis: Cross-Border Claims" by "Banks" (Column 15), "Public" (Column 16), and "Other" (Column 17).
- Split "Local Country Claims on Local Residents" (Column 18) into three columns, "Ultimate-Risk Basis: Foreign-Office Claims on Local Residents" by "Banks" (Column 18), "Public" (Column 19), and "Other" (Column 20).
- Added a column, "Ultimate-Risk Basis: Foreign-Office Claims on Local Residents: Breakdown of Total of Columns 18, 19, and 20: Claims in Non-Local Currency" (Column 21).
- Added columns, "Ultimate-Risk Basis: Cross-Border and Foreign-Office Commitments and Guarantees" by "Unused Commitments" (Column 22) and "Guarantees and Credit Derivatives" (Column 23).
- "Local Country Liabilities" (Column 19) was moved to the new schedule, Schedule 1a, split into two columns and renumbered to "Foreign-Office Liabilities in Non-Local Currency" (Column 1) and "Foreign-Office Liabilities in Local Currency" (Column 2).

- "Amounts Reported in Column (4) After Adjustments in Columns (8-13) that Represent Assets Held-for-Trading" (Column 20) was moved, renamed and renumbered on the new Schedule 1a to "Amounts Reported in Columns 15, 16. and 17 of Schedule 1 that Represents Assets Held-for-Trading" (Column 5).
- "Trade Financing Reported in Columns (4) and (15)" (Column 21) was moved, renamed and renumbered on the new Schedule 1a to "Amounts Reported in Columns 15 through 20 and 22 and 23 of Schedule 1 that are Trade Finance" (Column 6).
- Added a column, "Total Liabilities Booked at Foreign Offices" (Column 3) to the new Schedule 1a.

Report Form and Instructions URL:  
[http://www.ffiec.gov/forms009\\_009a.htm](http://www.ffiec.gov/forms009_009a.htm)