

FFIEC 009 CHECKLIST

SCHEDULE 1
<i>Unless otherwise noted, the following tests should be performed for each country</i>
Column 9 must not be greater than the sum of columns 1, 4, and 8 (except for country codes 01007, 29999, and 99996)
Column 10 must not be greater than the sum of columns 2, 5, and 8 (except for country codes 01007, 29999, and 99996)
Column 11 must not be greater than the sum of columns 3, 6, and 8 (except for country codes 01007, 29999, and 72907 through 99996)
Column 7 must not be greater than the sum of columns 1 through 6 (except for country code 01007, 29999, and 99996)
The sum of columns 15 and 18 must not be greater than the sum of columns 1, 4, and 8, minus column 9, plus column 12 (except for country code 01007, 29999, 72907-99996)
The sum of columns 16 and 19 must not be greater than the sum of columns 2, 5, and 8, minus column 10, plus column 13 (except for country code 01007, 29999, 99996)
The sum of columns 17 and 20 must not be greater than the sum of columns 3, 6, and 8, minus column 11, plus column 14 (except for country code 01007, 29999, 99996)
Column 21 must not be greater than the sum of columns 18 through 20 (except for country code 01007)
The sum of columns 1 through 6 and 8, minus columns 9 through 11, plus columns 12 through 14 should equal the sum of columns 15 through 20 (except for country code 01007, 29999, 99996)
<i>Unless otherwise noted, the following tests should be performed for each column (1-23)</i>
The sum of each column in the range of 15105 - 18007 must equal country code 19992 Total
The sum of each column in the range of 30104 - 39004 must equal country code 39993 Total
The sum of each column in the range of 40401 - 48909 must equal country code 49999 Total
The sum of each column in the range of 50105 - 58904 must equal country code 59994 Total
The sum of each column in the range of 60089 - 63908 must equal country code 69906 Total
The sum of each column in the range of 29998 - 01007 must equal country code 29999 Total
The sum of each column in the range of 72907 - 77909 must equal country code 79995 Total
The sum of each column for country codes 19992, 39993, 49999, 59994, 69906, 29999, and 79995 must equal country code 99996 Total
For country code 99996, the sum of columns 9, 10, and 11 must equal the sum of columns 12, 13, and 14
The sum of columns 9, 10, and 11 must not be greater than the sum of columns 1 through 6, and 8 (except for country codes 01007, 29999, and 99996)
SCHEDULE 1a
<i>Unless otherwise noted, the following tests should be performed for each country</i>
Column 5 must not be greater than the sum of columns 15 through 17 of Schedule 1 (except for country codes 13501, 72907-79995)
Column 5 must not be greater than column 16 of Schedule 1 (for country codes 13501, 72907-79995)
Column 6 must not be greater than the sum of columns 15 through 20, 22 and 23 of Schedule 1 (except for country codes 13501, 72907-79995)
Column 6 must not be greater than the sum of columns 16, 19, 22 and 23 of Schedule 1 (for country codes 13501, 72907-79995)
If country code equals 30708 and 44407, then columns 1 through 6 must be blank or zero
<i>Unless otherwise noted, the following tests should be performed for each column (1-6)</i>
The sum of each column in the range of 15105 - 18007 must equal country code 19992 Total
The sum of each column in the range of 30104 - 39004 must equal country code 39993 Total
The sum of each column in the range of 40401 - 48909 must equal country code 49999 Total
The sum of each column in the range of 50105 - 58904 must equal country code 59994 Total
The sum of each column in the range of 60089 - 63908 must equal country code 69906 Total
The sum of each column in the range of 29998 - 01007 must equal country code 29999 Total
The sum of each column in the range of 72907 - 77909 must equal country code 79995 Total
The sum of each column for country codes 19992, 39993, 49999, 59994, 69906, 29999, and 79995 must equal country code 99996 Total

SCHEDULE 2

Unless otherwise noted, the following tests should be performed for each country

Sum of columns 1 through 3 must equal column 4.

If country code equals 30708 and 44407, then columns 1 through 7 must be blank or zero

Unless otherwise noted, the following tests should be performed for each column (1-7)

The sum of each column in the range of 15105 - 18007 must equal country code 19992 Total

The sum of each column in the range of 30104 - 39004 must equal country code 39993 Total

The sum of each column in the range of 40401 - 48909 must equal country code 49999 Total

The sum of each column in the range of 50105 - 58904 must equal country code 59994 Total

The sum of each column in the range of 60089 - 63908 must equal country code 69906 Total

The sum of each column in the range of 29998 - 01007 must equal country code 29999 Total

The sum of each column in the range of 72907 - 77909 must equal country code 79995 Total

The sum of each column for country codes 19992, 39993, 49999, 59994, 69906, 29999, and 79995 must equal country code 99996 Total