

2021 Quadrilateral Conference

**Second Session: Thursday, June 3, 2021
8:00 am – 10:00 am (New York time)**

Speaker Profiles

Iñigo Arruga
European Central Bank

Iñigo Arruga is an adviser in the Directorate General Legal Services at the European Central Bank (“ECB”), where he covers, among other areas, EU economic union and EU financial services legislation. He has worked at the ECB since 2001, except for a period from 2008-09, when he worked in the Legal Department of the International Monetary Fund. Before joining the ECB, he worked at the Court of Justice of the European Union. Previously, he worked in commercial banking in New York and Madrid. Mr. Arruga studied law and Spanish language and literature at the University of Zaragoza and European law at the College of Europe in Bruges. He is a member of the European Financial Markets Lawyers Group.

James Bergin
Federal Reserve Bank of New York

James Bergin is Deputy General Counsel and Senior Vice President at the Federal Reserve Bank of New York (“New York Fed”). He is responsible for the legal team that advises on matters relating to the New York Fed’s implementation of monetary policy and other activity conducted by the New York Fed’s Markets Group, and supervisory and regulatory matters related to the New York Fed’s oversight of financial institutions. In addition, he oversees the New York Fed’s bank applications function. He previously served as chief of staff to William C. Dudley, former President and CEO of the New York Fed. Mr. Bergin holds degrees from Rutgers College and Harvard Law School.

David Bunting
Deutsche Bank

David Bunting is head of Fixed Income, Currency, and Credit (“FIC”) Markets Legal at Deutsche Bank, where he has worked for the last 17 years. He was previously an attorney at Hogan Lovells and a researcher for the Law Commission of England and Wales. Mr. Bunting is a graduate of Lancaster University and is a member of the Financial Markets Law Committee’s benchmarks working group.

Maria Douvas*Morgan Stanley*

Maria Douvas is a Managing Director in Morgan Stanley's Legal & Compliance Division and global co-head of legal coverage for Morgan Stanley's Fixed Income Division. Prior to joining Morgan Stanley in 2004, she was Assistant General Counsel of AIG Trading Group Inc., a foreign exchange and interest rate derivatives dealing subsidiary of American International Group Inc. Before moving in-house, Ms. Douvas was an associate in the business and finance departments at Morgan, Lewis & Bockius and Stroock & Stroock & Lavan in New York City, where she focused on mergers and acquisitions and capital markets transactions. She received her undergraduate and J.D. degrees from Duke University. Ms. Douvas is a member of the New York Fed's Alternative Reference Rate Committee, Financial Markets Lawyers Group, and Foreign Exchange Committee.

Volker Enseleit*European Central Bank*

Volker Enseleit is Lead Legal Counsel at the ECB, where he has worked since 2009. He was previously in private practice with Allen & Overy. Dr. Enseleit holds law licenses from the Rechtsanwaltskammer Frankfurt am Main and the Law Society of England and Wales. He is a member of the European Financial Markets Lawyers Group and supports the ECB's work on the Global Foreign Exchange Committee.

Brian Gray*Financial Markets Law Committee*

Brian Gray is the Interim CEO of the Financial Markets Law Committee. He is a lawyer with nearly 30 years of experience working in the City of London, including as a partner of Freshfields LLP and Linklaters LLP. Mr. Gray is a graduate (Law, First Class) of the University of Oxford.

Robert Klein*Citigroup Global Markets Inc.*

Bob Klein is a Managing Director and Counsel at Citigroup Global Markets Inc., where he advises on capital markets activities, including futures and swaps clearing, energy and commodity derivatives, e-commerce, and FX sales and trading. He has worked as a litigation associate at Akin, Gump, Strauss, Hauer & Feld in Washington, D.C., an Assistant Attorney General in the Antitrust Division of the Texas Attorney General's Office, and a trial attorney in the Enforcement Division of the United States Commodity Futures Trading Commission ("CFTC"). He served as Chief Counsel to the CFTC's Enforcement Division from 1988 to 1996. In 1997 he joined Salomon Brothers Inc. in New York as Vice President and Derivatives Compliance Counsel, remaining with the firm when it became Citigroup. Mr. Klein served as president of the Futures Industry Association Law & Compliance Division from 2004 to 2006. He is a member of the National Futures Association's Swap Participant Advisory Committee, the CFTC's Global Markets Advisory Committee, and the Financial Markets Lawyers Group. Mr. Klein received his J.D. from Duke University School of Law.

Ida Levine*Impact Investing Institute*

Ida Levine is a board member at the Impact Investing Institute and Investor Forum, a principal at Two Rivers Consulting, and a member of the management board of P.R.I.M.E. Finance Foundation. She previously held positions as Board Director, Senior Vice President and Senior Counsel and Director of European Public Affairs at Capital Group and European Counsel at J.P. Morgan Investment Management and was a partner at international law firm Jones Day. She holds undergraduate and law degrees from the University of California, Los Angeles and is a member of the Financial Markets Law Committee.

Jeffrey Lillien*Wells Fargo*

Jeff Lillien is Senior Counsel in the Wells Fargo Legal Department. He has practiced in the area of derivatives and foreign exchange for close to 30 years, representing over-the-counter businesses as well as registered futures commission merchant, broker-dealer, trading advisory and investment management affiliates of U.S. and European banks, with extensive experience in commodities, securities and banking regulation. Prior to this in-house experience, he began his career at Mayer Brown in Chicago as a securities and banking associate. Mr. Lillien holds degrees from the Massachusetts Institute of Technology and New York University School of Law. He was for many years a member of the Financial Markets Lawyers Group.

Eugene Karl Montoya A.*Wells Fargo*

Eugene Montoya is a Vice President, Climate Finance Strategist at Wells Fargo, where he plays a leading role within the Sustainability function, connecting the work of climate scenario analysis with independent risk management and frontline use cases. Before joining Wells Fargo, he spent over five years with the New York Fed, focusing on trade, investment, and commodity finance verticals across U.S. markets and the implications for financial condition, business strategy, and corporate governance. Prior to the Fed, he worked for the UN World Food Programme on climate adaptation, ProShares on product design, and risk management for a global macro hedge fund.

Thomas Noone*Federal Reserve Bank of New York*

Thomas Noone is Counsel in the Bank Supervision and Markets Division of the Legal Group at the New York Fed. He serves as Secretary to the Financial Markets Lawyers Group and chairs the Education and Industry Forum on Financial Services Culture. Before joining the New York Fed, Mr. Noone was an associate at Davis Polk & Wardwell LLP and a law clerk for the Hon. José A. Cabranes of the United States Court of Appeals for the Second Circuit. He holds degrees from The Johns Hopkins University and Stanford Law School.

Ignacio Ollero*BBVA*

Ignacio Ollero is a managing director and head of BBVA's Global Markets Legal Department. He has a broad scope of work including the advice in capital markets transactions, derivatives, structured products, master agreements and European legislation such as MIFID, PRIIPs, EMIR or BMR. Ignacio forms part of the BBVA team on the European Central Bank's working group on euro risk-free rates, where BBVA has led the task force in charge of identifying best practices for contract robustness. Mr. Ollero is a graduate (Law and Financial Markets) of the Instituto de Estudios Bursátiles in Madrid.

Barnabas Reynolds*Shearman & Sterling LLP*

Barnabas Reynolds is head of Shearman & Sterling's global Financial Institutions Advisory & Financial Regulatory Group and is Global Co-Head of Financial Institutions for the firm. He advises the full range of financial market participants on their businesses in the London and European markets. His practice focuses on financial institution law and regulation and legal risk management, national and cross-border. Mr. Reynolds holds degrees from Queens' College and Downing College at the University of Cambridge, and is a member of the Financial Markets Law Committee.

Lisa Shemie*Cboe Global Markets*

Lisa Shemie is an Associate General Counsel at Cboe Global Markets and serves as Chief Legal Officer of each of Cboe's foreign exchange trading venues, Cboe FX Markets and Cboe SEF. She advises Cboe on all matters relating to foreign exchange, and also leads its cybersecurity and data privacy practice group. Ms. Shemie holds degrees from Concordia University and McGill University. She currently serves as co-Chair of the Market Structure Subcommittee of the CFTC's Market Risk Advisory Committee, and is a member of the Foreign Exchange Professionals Association and the Financial Markets Lawyers Group.

Christina Skinner*University of Pennsylvania Wharton School*

Christina Skinner is an Assistant Professor of Legal Studies & Business Ethics at the University of Pennsylvania's Wharton School, where she teaches and conducts research on central banking, debt markets, separation of powers, corporate governance, and law and macroeconomics. Her research has been published or is forthcoming in the *Columbia Law Review*, the *Duke Law Journal*, the *Vanderbilt Law Review*, and the *Georgetown Law Journal*, among other leading academic journals. Prior to joining the faculty at Wharton, Professor Skinner served as legal counsel at the Bank of England, in the Financial Stability Division of the Bank's Legal Directorate. She holds degrees from Princeton University and Yale Law School, and clerked for the Hon. Barbara S. Jones of the United States District Court for the Southern District of New York and the Hon. Thomas L. Ambro of the United States Court of Appeals for the Third Circuit. Professor Skinner is a member of the New York Fed's Education and Industry Forum on Financial Services Culture.

Suzy van de Wiele*BNP Paribas*

Suzy Vande Wiele is Senior Legal Counsel at BNP Paribas Fortis NV, where she has worked for the last decade. She was previously an attorney in private practice at Loyens & Loeff, Bogaert & Vandemeulebroecke, and Stibbe. Ms. Vande Wiele is a graduate of Ghent University and is a member of the European Financial Markets Lawyers Group.

James Wallin*AllianceBernstein*

James Wallin is a Senior Vice President in AllianceBernstein's Fixed Income group. Prior to joining AllianceBernstein, he was in-house counsel at Morgan Stanley Investment Management, Evergreen Asset Management Corp., and Yamaichi Capital Management Inc., where he served as General Counsel and Chief Administrative Officer. He holds degrees from the State University of New York at Stony Brook and New York Law School. Mr. Wallin is a member of the Financial Markets Lawyers Group and the Operation Managers Working Group of the New York Fed's Foreign Exchange Committee, and serves as Treasurer of SIFMA—the Securities Industry and Financial Markets Association.

Erik Walsh*Arnold & Porter*

Erik Walsh is Counsel at Arnold & Porter, where he represents financial institution clients in enforcement, compliance and regulatory matters before the federal and state banking agencies, Department of Justice, and the Office of Foreign Assets Control ("OFAC"). Previously, he was Senior Counsel and Assistant Vice President in the Enforcement, Litigation, Police, Investigations & Corporate Affairs Division of the New York Fed's Legal Group. Mr. Walsh holds degrees from the State University of New York at Geneseo and Boston University School of Law.

Akihiro Wani*Morrison & Foerster LLP*

Akihiro Wani is a senior counselor of Morrison & Foerster LLP in Tokyo. Mr. Wani has nearly 30 years of experience as a capital markets lawyer, acting for major international and domestic financial institutions on financial regulations and cutting-edge derivatives transactions. He is a counsel for ISDA in Japan, a founding member of the Financial Law Board sponsored by the Bank of Japan, and a Finance Expert of P.R.I.M.E Finance in The Hague. He also served as a member of the Japanese Financial Services Agency's Financial Systems Council. He has been a Professor of Law at Sophia University Law School in Tokyo since 2004. Prior to joining Morrison & Foerster LLP in May 2014, he was a founding *bengoshi* partner at Linklaters in Tokyo, where he served as joint managing partner from 2005 to 2012. Mr. Wani earned an LL.B. and an LL.M. from the University of Tokyo and an LL.M. from Columbia Law School.

Frank Weigand
HSBC Securities (USA), Inc.

Frank Weigand is the General Counsel of HSBC Securities (USA), Inc. and an Associate General Counsel in HSBC's Global Banking and Markets division. His practice covers numerous investment banking business lines, which include (i) trading and derivatives across a number of assets classes (Equities, Rates, Credit, FX and Precious Metals), (ii) structured products, and (iii) Balance Sheet Management (Treasury). He also supports HSBC's negotiation of ISDA agreements and other institutional master documentation. Mr. Weigand holds undergraduate and law degrees from Fordham University.

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