



GOVERNANCE AND CULTURE REFORM

FEDERAL RESERVE BANK *of* NEW YORK

Education and Industry Forum (EIF) on Financial Services Culture

Tuesday May 7, 2019

Federal Reserve Bank of New York

33 Liberty Street, New York, NY 10045

10th floor, Northwest Conference Room

09:30-10:00	Breakfast and Networking
10:00-10:10	Welcome Remarks Jack Gutt, Executive Vice President, Communications & Outreach Federal Reserve Bank of New York
10:10-10:20	Overview of Culture and Governance Reform Efforts at the New York Fed James Hennessy, Senior Vice President, Supervision Federal Reserve Bank of New York
10:20-10:25	EIF Charter and Anti-trust Guidelines Tom Noone, Assistant Vice President and Counsel, Legal Federal Reserve Bank of New York
10:25-11:00	EIF Structure and Governance Anika Pratt, EIF Chair Federal Reserve Bank of New York <ul style="list-style-type: none">- Mission and Vision Statement- Member Responsibilities
11:00-12:30	OPEN DISCUSSION: Scope of EIF Work Jack Gutt, Executive Vice President, Communications & Outreach Federal Reserve Bank of New York <ul style="list-style-type: none">- Levers of Influence <p>(10-minute BREAK)</p> <ul style="list-style-type: none">- Priority Setting
12:30-01:00	LUNCH DISCUSSION: Next Steps and Deliverables
01:00-01:15	Wrap up
01:15	ADJOURN



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Members:

Mark Adams, Group Head of Talent & Leadership, Culture, Diversity & Inclusion, Resourcing and Group HR Chief Operating Officer, Barclays

William Boulding, Dean and J.B. Fuqua Professor of Business Administration, Duke University – Fuqua School of Business

William Bousquette, Managing Director and Chief Operating Officer of the Investment Banking Division, Goldman Sachs

Emmanuelle Bury, Chief Conduct and Control Officer, BNP Paribas USA Inc. & CIB Americas

Joanne B. Cuilla, Professor and Director of the Institute for Ethical Leadership, Rutgers Business School

Scott DeRue, Edward J. Frey Dean and Stephen M. Ross Professor of Business, University of Michigan – Ross School of Business

Jonathan Haidt, Thomas Cooley Professor of Ethical Leadership, New York University – Stern School of Business; and founder and director of EthicalSystems.org

David Kirshenbaum, Managing Director and Chief Operating Officer of Corporate and Investment Banking, Citigroup

Richard K. Lyons, Professor, Former Dean, and William and Janet Cronk Chair in Innovative Leadership, University of California, Berkeley – Haas School of Business

Peg Sullivan, Managing Director and Global Head of Talent Management, Morgan Stanley

Other 5/7 Attendees:

Jeremy Kress, Assistant Professor of Business Law, University of Michigan – Ross School of Business

Scott Stimpfel, Global Head of Learning & Development, Banking, Capital Markets and Advisory (BCMA), Citigroup

Danielle Warren, Associate Professor of Management & Global Business, Rutgers Business School