

FEDERAL RESERVE BANK OF NEW YORK DISCOUNT WINDOW OFFICIAL OC-10 AUTHORIZATION LIST

Routing (ABA) No. _____

Page _____ of _____

Check Yes if this list supercedes the previous version; check No if this list is in addition to the previous version. Leave blank if submitting this list for the first time.

This supersedes our previous Official OC-10 Authorization List:

→ YES NO

Date must be equal to or after the latest notary date on Page 2.

If neither is checked, your institution's previous list will also remain in effect.

Name of Depository Institution:	Effective Date:
Address:	Telephone:

Instructions: Please complete and indicate authority as appropriate. For instance, check both boxes if the individual is authorized to make borrowing requests and pledge loan collateral on behalf of the depository institution identified above (henceforth referred to as the "Borrower").

To the Federal Reserve Bank of New York ("FRBNY"): Below are the names, titles, and signatures of the individuals authorized to borrow funds from and/or to pledge loan collateral¹ to the FRBNY² on behalf of the Borrower.

Print Name and Title	Primary & Contingent Telephone Numbers	Email Address	Signature	Authorized to Borrow	Authorized to Pledge
Name and Title must appear	Provide alternate contact numbers. For DW loan call back process, we can only call back numbers on file.			<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

¹ Securities collateral is pledged by other means whose authority does not derive from this list.

² Or to any other Reserve Bank on behalf of FRBNY.

Authorized Electronic Pledge

The signature of the authorizing officer or officers below constitutes consent to the following terms and conditions by Borrower: If the Borrower is approved to pledge loan collateral to the FRBNY, the Borrower understands that any pledge of loan collateral shall be submitted in an electronic format via secured e-mail to BIC@ny.frb.org, in a manner satisfactory to the FRBNY.³ FRBNY is entitled to treat any such e-mail as authorized by Borrower if it comes from an e-mail address of an individual indicated as Authorized to Pledge in the authorization list. If they are satisfactory to FRBNY, the relevant data in such e-mail (including its attachments) shall constitute part of or all of a Collateral Schedule and shall modify or supplement any Collateral Schedule received prior to such e-mail. The Borrower assumes all risk of unsuccessful, inaccurate, or corrupted transmission of data pertaining to any pledge of loan collateral, including risk of malicious interference with the transmission. FRBNY is not responsible for any transmission failure or other problems that prevent successful or timely receipt of a Collateral Schedule. Borrower shall indemnify and hold harmless the FRBNY for any losses relating to the transmission of the data.

If the collateral listed in the electronic file is held under a Third Party Custodian (“TPC”) arrangement (as defined in Operating Circular 10), the e-mail that FRBNY receives may be originated by either the Borrower or TPC. Such an e-mail shall be sent to the non-originating Borrower or TPC, and thence forwarded to FRBNY by the non-originating Borrower or TPC. The individual e-mail addresses of the authorized pledgers of the Borrower and TPC must both be contained on the authorization list.

³ Please contact the Discount Window staff for information on the approved methods of secured e-mail.

Title must appear next to name, and the title of the individual must match exactly to the authorized title in the Authorizing Resolution (AR). If the authorizing officer has a joint title in the AR, then both titles must appear.

Authorizing Officer Signature and Notarization

Note: Authorizing Officers must be identified by title on the Authorizing Resolutions for Borrowers ("Authorizing Resolutions"). If your institution's Authorizing Resolutions indicates that two officers are required to issue instructions to FRBNY, please also complete the second authorizing officer section below.

Signature: _____ _____ Print Name and Title _____ Telephone Number _____ Email Address _____	State of _____ County of _____ Subscribed and sworn to before me on _____, 20____, by _____ Name of Authorizing Officer _____ Signature of Notary Public (Notary Seal) _____
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Print the name of the authorizing officer

Notary must not be a person listed as authorized to borrow or pledge on Page 1.

If your institution's AR requires two individuals, then complete this section following the above guidance.

Authorizing Officer Signature and Notarization (if a second one is applicable)

Signature: _____ _____ Print Name and Title _____ Telephone Number _____ Email Address _____	State of _____ County of _____ Subscribed and sworn to before me on _____, 20____, by _____ Name of Authorizing Officer _____ Signature of Notary Public (Notary Seal) _____
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If the secretary or assistant secretary's title appears in the AR as an Authorizing Officer, they cannot sign this section.

Secretary's Certification and Notarization

I, _____, _____, of the above institution <small>Print Name of Secretary Print Title</small> do hereby certify that _____ Name(s) of Authorizing Officer(s) _____ is/are a _____ Title(s) of Authorizing Officer(s) _____ of such institution. Secretary's Signature: _____ _____ Print Secretary's Name and Title	State of _____ County of _____ Subscribed and sworn to before me on _____, 20____, by _____ Name of Secretary _____ Signature of Notary Public (Notary Seal) _____
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Must match name in above signature boxes.

Must match titles in above signature boxes.

Print the name of the secretary.

Notary must not be a person listed as authorized to borrow or pledge on Page 1.