<table>
<thead>
<tr>
<th>Date</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>December 2013</td>
<td><strong>FFIEC 009</strong></td>
</tr>
<tr>
<td></td>
<td>- Split and re-captioned, &quot;Immediate-Counterparty Basis: Cross-Border Claims: Other&quot; (Column 3) on Schedule 1 to &quot;Immediate-Counterparty Basis: Cross-Border Claims: NBFIs&quot; (Column 3), &quot;Immediate-Counterparty Basis: Cross-Border Claims: Corporate&quot; (Column 4), and &quot;Immediate-Counterparty Basis: Cross-Border Claims: Households&quot; (Column 5) on the new Schedule C, Part I.</td>
</tr>
<tr>
<td></td>
<td>- Re-captioned and renumbered, &quot;Immediate-Counterparty Basis: Foreign-Office Claims on Local Residents in Non-Local Currency: Banks&quot; (Column 4) on Schedule 1 to &quot;Immediate-Counterparty Basis: Claims on Local Residents in Non-Local Currency: Banks&quot; (Column 6) on the new Schedule C, Part I.</td>
</tr>
<tr>
<td></td>
<td>- Re-captioned and renumbered, &quot;Immediate-Counterparty Basis: Foreign-Office Claims on Local Residents in Non-Local Currency: Public&quot; (Column 5) on Schedule 1 to &quot;Immediate-Counterparty Basis: Claims on Local Residents in Non-Local Currency: Public&quot; (Column 7) on the new Schedule C, Part I.</td>
</tr>
<tr>
<td></td>
<td>- Split and re-captioned, &quot;Immediate-Counterparty Basis: Foreign-Office Claims on Local Residents in Non-Local Currency: Other&quot; (Column 6) on Schedule 1 to &quot;Immediate-Counterparty Basis: Claims on Local Residents in Non-Local Currency: NBFIs&quot; (Column 8), &quot;Immediate-Counterparty Basis: Claims on Local Residents in Non-Local Currency: Corporate&quot; (Column 9), and &quot;Immediate-Counterparty Basis: Claims on Local Residents in Non-Local Currency: Households&quot; (Column 10) on the new Schedule C, Part I.</td>
</tr>
</tbody>
</table>
Re-captioned and renumbered, "Immediate-Counterparty Basis: Breakdown of Total of Columns 1 through 6" (Column 7) on Schedule 1 to "Immediate-Counterparty Basis: Breakdown of Total of Columns 1 through 10: Remaining Maturity Up to and Including 1 Year" (Column 11) on the new Schedule C, Part I.

Re-captioned and renumbered, "Immediate-Counterparty Basis: Foreign Office Claims on Local Residents in Local Currency" (Column 8) on Schedule 1 to "Immediate-Counterparty Basis: Claims on Local Residents in Non-Local Currency" (Column 12) on the new Schedule C, Part I.

Re-captioned and renumbered, "Redistribution of Claims to Adjust for Ultimate Risk: Outward Risk Transfers of Claims Reported in Columns 1 through 6 and 8 or on U.S. Residents: Claims on Banks" (Column 9) on Schedule 1 to "Redistribution of Claims to Adjust for Ultimate Risk: Outward Risk Transfers of Claims Reported in Columns 1 through 10 and 12 or on U.S. residents: Claims on Banks" (Column 13) on the new Schedule C, Part I.

Re-captioned and renumbered, "Redistribution of Claims to Adjust for Ultimate Risk: Outward Risk Transfers of Claims Reported in Columns 1 through 6 and 8 or on U.S. Residents: Claims on Public" (Column 10) on Schedule 1 to "Redistribution of Claims to Adjust for Ultimate Risk: Outward Risk Transfers of Claims Reported in Columns 1 through 10 and 12 or on U.S. residents: Claims on Public" (Column 14) on the new Schedule C, Part I.

Split and re-captioned, "Redistribution of Claims to Adjust for Ultimate Risk: Outward Risk Transfers of Claims Reported in Columns 1 through 6 and 8 or on U.S. Residents: Claims on Other" (Column 11) on Schedule 1 to "Redistribution of Claims to Adjust for Ultimate Risk: Outward Risk Transfers of Claims Reported in Columns 1 through 10 and 12 or on U.S. residents: Claims on NBFIs" (Column 12), "Redistribution of Claims to Adjust for Ultimate Risk: Outward Risk Transfers of Claims Reported in Columns 1 through 10 and 12 or on U.S. residents: Claims on Corporate" (Column 13), "Redistribution of Claims to Adjust for Ultimate Risk: Outward Risk Transfers of Claims Reported in Columns 1 through 10 and 12 or on U.S. residents: Claims on Households" (Column 14) on the new Schedule C, Part I.

Re-captioned and renumbered, "Ultimate-Risk Basis: Cross-Border Claims: Banks" (Column 15) on Schedule 1 to "Ultimate-Risk Basis: Cross-Border Claims: Banks" (Column 1) on the new Schedule C, Part II.

Re-captioned and renumbered "Ultimate-Risk Basis: Cross-Border Claims: Public" (Column 16) on Schedule 1 to "Ultimate-Risk Basis: Cross-Border Claims: Public" (Column 2) on the new Schedule C, Part II.

Split and re-captioned, "Ultimate-Risk Basis: Cross-Border Claims: Other" (Column 17) on Schedule 1 to "Ultimate-Risk Basis: Cross-Border Claims: NBFIs" (Column 3), "Ultimate-Risk Basis: Cross-Border Claims: Corporate" (Column 4), and "Ultimate-
Risk Basis: Cross-Border Claims: Households" (Column 5) on the new Schedule C, Part II.

- Split and re-captioned, "Ultimate-Risk Basis: Foreign-Office Claims on Local Residents: Banks" (Column 18) on Schedule 1 to "Ultimate-Risk Basis: Claims on Local Residents: Banks" (Column 6) on the new Schedule C, Part II.

- Re-captioned and renumbered, "Ultimate-Risk Basis: Foreign-Office Claims on Local Residents: Public" (Column 19) on Schedule 1 to "Ultimate-Risk Basis: Claims on Local Residents: Public" (Column 7) on the new Schedule C, Part II.

- Split and re-captioned, "Ultimate-Risk Basis: Foreign-Office Claims on Local Residents: Other" (Column 20) on Schedule 1 to "Ultimate-Risk Basis: Claims on Local Residents: NBFIs" (Column 8), "Ultimate-Risk Basis: Ultimate-Risk Basis: Claims on Local Residents: Corporate" (Column 9), and "Ultimate-Risk Basis: Claims on Local Residents: Households" (Column 10) on the new Schedule C, Part II.

- Re-captioned and renumbered, "Ultimate-Risk Basis: Breakdown of Total of Columns 18, 19, and 20" (Column 21) on Schedule 1 to "Ultimate-Risk Basis: Breakdown of Total of Columns 6 through 10: Claims in Non-Local Currency" (Column 11) on the new Schedule C, Part II.

- Added columns, "Memorandum: Breakdown of Total of Columns 1 through 10: Securities (HTM and AFS)" (Column 12), "Memorandum: Collateral Held Against Claims With No Risk Transfer (Reported in Columns 1 through 10 - By Counterparty): Total Collateral" (Column 13), "Memorandum: Collateral Held Against Claims With No Risk Transfer (Reported in Columns 1 through 10 - By Counterparty): Of Which Cash" (Column 14), "Memorandum: Collateral Held Against Claims With No Risk Transfer (Reported in Columns 1 through 10 - By Counterparty): Of Which, Same Country" (Column 15), "Memorandum: Collateral Held Against Claims With No Risk Transfer (Reported in Columns 1 through 10 - By Counterparty): Of Which, Resale and Reverse Repurchase Agreements and Securities Lending (Counterparty)" (Column 16), and "Trading Assets: Offsetting Positions for Trading Book" (Column 18).

- Moved and re-numbered, "Cross-Border and Foreign-Office Commitments and Guarantees: Unused Commitments" (Column 22) from Schedule 1 to Column 1 of the new Schedule O.

- Split and re-captioned, "Cross-Border and Foreign-Office Commitments and Guarantees: Guarantees and Credit Derivatives" (Column 23) from Schedule 1 to "Cross-Border and Foreign-Office Commitments and Guarantees: Guarantees (Excluding Credit Derivatives Sold)" (Column 2) and "Credit Derivatives (By Reference Entity): Gross-Gross: Total Credit Derivatives Sold" (Column 4) on the new Schedule O.

- Added columns, "Credit Derivatives (By Reference Entity): Gross-Gross: Total Credit Derivatives Purchased" (Column 3), "Credit Derivatives (By Reference
Entity): Gross-Net: Total Credit Derivatives Purchased" (Column 5), and "Credit Derivatives (By Reference Entity): Gross-Net: Total Credit Derivatives Sold" (Column 6).

- Re-captioned and renumbered, "Foreign-Office Liabilities: By Country of Foreign Office: In Non-Local Currency" (Column 1) on Schedule 1a to "Foreign-Office Liabilities: By Country of Foreign Office: Foreign-Office Liabilities in Non-Local Currency" (Column 1) on the new Schedule L.
- Re-captioned, "Foreign-Office Liabilities: By Country of Foreign Office: In Local Currency" (Column 2) on Schedule 1a to "Foreign-Office Liabilities: By Country of Foreign Office: Foreign-Office Liabilities in Local Currency" (Column 2) on the new Schedule L.
- Re-captioned, "Foreign-Office Liabilities: By Country of Creditor: Total Booked at Foreign Offices" (Column 3) on Schedule 1a to "Foreign-Office Liabilities: By Country of Creditor: Total Booked at Foreign Offices" (Column 3) on the new Schedule L.

- Re-captioned, "Memorandum Items: Net Due to (or Due from) Own Related Offices in Other Countries" (Column 4) on Schedule 1a to "Net Due to (or From) Own Related Offices in Other Countries" (Column 4) on the new Schedule L.
- Moved, re-captioned, and renumbered, "Memorandum Items: Amount Reported in Columns 15, 16, and 17 of Schedule 1 that Represents Assets Held for Trading" (Column 5) on Schedule 1a to "Trading Assets: Trading Assets (Reported in Columns 1 through 10)" (Column 17) on the new Schedule C, Part II.
- Moved and renumbered, "Memorandum Items: Amount Reported in Columns 15 through 20 and 22, 23 of Schedule 1 that are Trade Finance" (Column 6) on Schedule 1a to "Amounts Reported in Columns 1 through 10 of Schedule C, Part II and Columns 1 and 2 of Schedule O that are Trade Finance" (Column 7) to the new Schedule O.
- Re-captioned and renumbered, "Schedule 2: Country Exposure Report - Foreign Exchange and Derivative Products" to "Schedule D: Claims from Positions in Derivative Contracts".
- Re-captioned, "Positive Fair Value of Foreign Exchange and Derivative Products: Banks" (Column 1) on Schedule 2 to "Fair Value of Foreign Exchange and Derivative Products: Banks" (Column 1) on the new Schedule D.
- Re-captioned, "Positive Fair Value of Foreign Exchange and Derivative Products: Public" (Column 2) on Schedule 2 to "Fair Value of Foreign Exchange and Derivative Products: Public" (Column 2) on the new Schedule D.
- Split and re-captioned, "Positive Fair Value of Foreign Exchange and Derivative Products: Other" (Column 3) on Schedule 2 to "Fair Value of Foreign Exchange and
Derivative Products: NBFIs" (Column 3) and "Fair Value of Foreign Exchange and
Derivative Products: Other" (Column 4) on the new Schedule D.

- Re-captioned and renumbered, "Positive Fair Value of Foreign Exchange and
  Derivative Products: Total" (Column 4) on Schedule 2 to "Fair Value of Foreign
  Exchange and Derivative Products: Total" (Column 5) on the new Schedule D.
- Renumbered, "Memorandum: Claims on Branches with No Guarantee from Parent" (Column 5) on Schedule 2 to Column 6 on the new Schedule D.
- "Foreign Office Claims on Local Residents" (Column 6) and "Foreign-Office
  Liabilities" (Column 7) of Schedule 2 were eliminated.
- United States (01007) row was opened for all positions.
- Added reportable entity, Savings and Loan Holding Company.

**FFIEC 009a**

- Replaced, "Amount of Net Foreign Office Claims on Local Residents (including
derivative products)" (Column 2) with Amount of Foreign Office Claims on Local
Residents (Excluding Derivative Products)" (Column 2).
- Re-captioned, "Amount of Cross-border Claims Outstanding from Derivative Products
  after Mandated Adjustments for Transfer of Exposure" (Column 3) to "Amount of
  Gross Claims Outstanding from Derivative Products after Mandated Adjustments for
  Transfer of Exposure" (Column 3).
- Added column, "Gross Foreign-Office Liabilities" (Column 5).
- Re-captioned and renumbered, "Distribution of Amounts in Column 1: By Type of
  Borrower: Banks" (Column 5) to "Distribution of Amounts in Columns 1 and 2 (Except
  Column 13): By Type of Borrower: Banks" (Column 6).
- Re-captioned and renumbered, "Distribution of Amounts in Column 1: By Type of
  Borrower: Public Sector Entities" (Column 6) to "Distribution of Amounts in
  Columns 1 and 2 (Except Column 13): By Type of Borrower: Public" (Column 7).
- Split and renumbered, "Distribution of Amounts in Column 1: By Type of Borrower:
  Other" (Column 7) to "Distribution of Amounts in Columns 1 and 2 (Except Column 13):
  By Type of Borrower: NBFIs" (Column 8) and "Distribution of Amounts in
  Columns 1 and 2 (Except Column 13): By Type of Borrower: Other" (Column 9).
- Re-captioned and renumbered, "Distribution of Amounts in Column 1: By Maturity:
  One Year and Under" (Column 8) to "Distribution of Amounts in Columns 1 and 2
  (Except Column 13): By Maturity: One Year and Under" (Column 10).
- Re-captioned and renumbered, "Distribution of Amounts in Column 1: By Maturity:
  Over One Year" (Column 9) to "Distribution of Amounts in Columns 1 and 2 (Except
  Column 13): By Maturity: Over One Year" (Column 11).
- Added columns, "Distribution of Amounts in Columns 1 and 2 (Except Column 13):
  Trading Assets: Trading Assets" (Column 12), "Distribution of Amounts in
  Columns 1 and 2 (Except Column 13): Trading Assets: Offsetting Positions for Trading Book"
(Column 13), "Distribution of Amounts in Columns 1 and 2 (Except Column 13): Securities (HTM and AFS)" (Column 14), "Collateral Held Against Claims With No Risk Transfer (Reported in Columns 1 and 2 - By Counterparty): Total Collateral" (Column 15), "Collateral Held Against Claims With No Risk Transfer (Reported in Columns 1 and 2 - By Counterparty): Of Which Cash" (Column 16), "Collateral Held Against Claims With No Risk Transfer (Reported in Columns 1 and 2 - By Counterparty): Of Which, Same Country" (Column 17), "Collateral Held Against Claims With No Risk Transfer (Reported in Columns 1 and 2 - By Counterparty): Of Which, Resale Agreements and Securities Lending (Counterparty)" (Column 18), "Cross-Border and Foreign-Office Commitments and Guarantees: Unused Commitments" (Column 19), "Cross-Border and Foreign-Office Commitments and Guarantees: Guarantees (Excluding Credit Derivatives Sold)" (Column 20), "Credit Derivatives (By Reference Entity): Gross-Gross: Total Credit Derivatives Purchased" (Column 21), "Credit Derivatives (By Reference Entity): Gross-Gross: Total Credit Derivatives Sold" (Column 22), "Credit Derivatives (By Reference Entity): Gross-Net: Total Credit Derivatives Purchased" (Column 23), and "Credit Derivatives (By Reference Entity): Gross-Net: Total Credit Derivatives Sold" (Column 24).

March 2006

FFIEC 009

- "Cross-Border Claims: Total" (Column 4) was eliminated.
- Added new columns, "Immediate-Counterparty Basis: Foreign-Office
  Claims on Local Residents in Non-Local Currency" by "Banks" (Column4), “Public” (Column 5), and “Other” (Column 6).
- Re-captioned and renumbered, “Cross-Border Claims: Estimated Breakdown of Column (4) by Time Remaining to Maturity: One Year and Under” (Column 5) to “Immediate-Counterparty Basis: Breakdown of Total of Columns 1 through 6: Remaining Maturity Up to and Including 1 Year” (Column 7).
- "Cross-Border Claims: Estimated Breakdown of Column (4) by Time Remaining Maturity of Over One Year to Five Years (Column 6) and “Over Five Years” (Column 7) were eliminated.
- Added a column, "Immediate-Counterparty Basis: Foreign-Office Claims on Local Residents in Local Currency" (Column 8).
- Re-captioned and renumbered, “Cross-Border Claims: Claims Reported in Columns 1-3 with Head Office/Guarantor Located in Another Country” by “Bank” (Column 8), “Public” (Column 9), and “Other”(Column 10) to “Redistribution of Claims to Adjust for Ultimate Risk: Outward Risk Transfers of Claims Reported in Columns 1 through 6 and 8 or on U.S. Residents” by “Claims on Banks”(Column 9), “Claims on Public” (Column 10), and “Claims on Other”(Column 11).
Moved and renumbered, “Net Due to (or Due from) Own Related Offices in Other Countries” (Column 14) to Column 4 on the new schedule, “Schedule 1a: Country Exposure Report- Foreign-Office Liabilities and Memorandum items”.

“Commitments” (Column 15), “Commitments in Column (15) Head Office/Guarantor in Another Country” (Column 16) and “Redistribution of Commitments in Column (16) to Country of Head Office/Guarantor” (Column 17) were eliminated.

Added columns, “Ultimate-Risk Basis: Cross-Border Claims” by “Banks” (Column 15), “Public” (Column 16), and “Other” (Column 17).

Split “Local Country Claims on Local Residents” (Column 18) into three columns, “Ultimate-Risk Basis: Foreign-Office Claims on Local Residents” by “Banks” (Column 18), “Public” (Column 19), and “Other” (Column 20).

Added a column, “Ultimate-Risk Basis: Foreign-Office Claims on Local Residents: Breakdown of Total of Columns 18, 19, and 20: Claims in Non-Local Currency” (Column 21).

Added columns, “Ultimate-Risk Basis: Cross-Border and Foreign-Office Commitments and Guarantees” by “Unused Commitments” (Column 22) and “Guarantees and Credit Derivatives” (Column 23).

“Local Country Liabilities” (Column 19) was moved to the new schedule, Schedule 1a, split into two columns and renumbered to “Foreign-Office Liabilities in Non-Local Currency” (Column 1) and “Foreign-Office Liabilities in Local Currency” (Column 2).

“Amounts Reported in Column (4) After Adjustments in Columns (8-13) that Represent Assets Held-for-Trading” (Column 20) was moved, renamed and renumbered on the new Schedule 1a to “Amounts Reported in Columns 15, 16, and 17 of Schedule 1 that Represents Assets Held-for-Trading” (Column 5).

“Trade Financing Reported in Columns (4) and (15)” (Column 21) was moved, renamed and renumbered on the new Schedule 1a to “Amounts Reported in Columns 15 through 20 and 22 and 23 of Schedule 1 that are Trade Finance” (Column 6).

Added a column, “Total Liabilities Booked at Foreign Offices” (Column 3) to the new Schedule 1a.

Report Form and Instructions URL:
http://www.ffiec.gov/forms009_009a.htm