



The Federal Reserve Bank of New York
Statistics Function

SHL(A) Historic Reporting Changes

Reflects changes made since 1999

Date	Description
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June 2002

- Added reporting of short-term securities, selected money market instruments, and reporting unit.
- Eliminated price and U.S. dollar denominated face value and replaced with foreign currency denominated market value and face value.
- Eliminated several items from the Schedule 1 and 2 reports.

Report Form and Instructions URL:

<http://www.treas.gov/tic/forms.html#benchmark>

June 2005

- Added valuation techniques to determine market values.
- Added type of foreign holder 3 "Other" to Item 15.

Report Form and Instructions URL:

<http://www.treas.gov/tic/forms.html#benchmark>

June 2015

- Changes to Report Type:
 - Depository Institutions replaced Banks
 - Fund/ Fund Manager/Sponsor (excluding pension fund) replaces Mutual fund or investment fund.
 - Other Financial organization specifies to include BHCs and FHCs.
 - Pension Fund is added to Report Type.

- "Security ID System" (Item 7) is now consistent across Forms SHC(A) and SHL(A).
 - The new categories are: 1 = CUSIP, 2 = ISIN, 3 = CINS, 4 = Common Code, 5 = SEDOL, 6 = Internally Generated, and 7 = Other.

- Reorganized the lines applying to debt securities (including ABS)

- Type of Issuer:
 - Eliminated "Other"
 - Added "Depository Institution," "Other Financial Organization (including BHC and FHC)," and "Nonfinancial Organization"

11. Type of Issuer:

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|---|--|
| 1 = United States Treasury and Federal Financing Bank | 4 = Depository institution |
| 2 = Federal agency or federally sponsored enterprise | 5 = Other financial organization (including BHC and FHC) |
| 3 = State or local government, including their subdivisions | 6 = Nonfinancial organization |

- "Issuer Code" is replaced with "Reporting As".