2004 Compliance and Legal Risk Conference
December 6, 2004

Hosted by the Federal Reserve Bank of New York
33 Liberty Street, 12th Floor Conference Center

9:00am – 9:45am  Registration, Networking and Coffee

9:45am – 10:00am  Opening Remarks
John Ricketti, Vice President
Legal and Compliance Risk Department

10:00am – 10:45am  Check 21 Update
Stephen Fraher, Senior Examiner
Edward McCollough, Bank Examiner
Legal and Compliance Risk Department

10:45am – 11:30am  FACT Act Update
David Stein, Counsel
Board of Governors of the Federal Reserve System
Division of Consumer and Community Affairs

11:30am – 12:30pm  Lunch

12:30pm – 1:30pm  Compliance Risk Management Programs
Sam Flores, Supervising Examiner
Legal and Compliance Risk Department

1:30pm – 2:30pm  BSA Update
James Currie, Jr., Supervising Examiner
Legal and Compliance Risk Department

2:30pm – 2:45pm  Break

2:45pm – 3:30pm  CRA Update
Kenneth Benson, Bank Examiner
Legal and Compliance Risk Department

3:30pm – 4:15pm  Fair Lending Update
Stanley Lau, Senior Bank Examiner
Jose Garcia, Bank Examiner
Legal and Compliance Risk Department

4:15pm – 4:45pm  Identity Theft and Customer Phishing
Mariella Dearman, Supervising Examiner
Legal and Compliance Risk Department

4:45pm – 5:00 pm  Closing Remarks/Questions