

Comparison of the TIC SLT to the TIC SHL(A) and SHC(A) Reports



TIC SLT, SHL(A) and SHC(A) Reports

- SLT: Aggregate Holdings of Long-Term Securities by U.S. and Foreign Residents
- SHL(A): Report of Foreign Residents' Holdings of U.S. Securities, Including Selected Money Market Instruments
- SHC(A): Report of U.S. Ownership of Foreign Securities, Including Selected Money Market Instruments

TIC SLT, SHL(A) and SHC(A) Reports

- SHL(A): Report of Foreign Residents' Holdings of U.S. Securities, Including Selected Money Market Instruments
 - SHL – filed by universe every 5 years
 - SHLA – filed by small panel annually
- SHC(A): Report of U.S. Ownership of Foreign Securities, Including Selected Money Market Instruments
 - SHC – filed by universe every 5 years
 - SHCA – filed by small panel annually

Summary of Differences

SLT

- Rounded to the nearest **million** currency units
- Only reported in US\$
- Long-term only
- No Certificates of Deposit

SHL(A) and SHC(A)

- Rounded to the nearest currency unit
- Reported in both US\$ and foreign currency
- Long-term and short-term
- Includes Certificates of Deposit

Summary of Differences (cont.)

SLT

- Quarterly, then monthly
- Honor system
- Revisions over several reporting periods
- Aggregates

SHL(A) and SHC(A)

- Quinquennial or Annual
- Partial honor system for quinquennial; required if notified
- No revisions after publication
- Details by security

TIC SLT Compare to TIC SHLA

U.S. Treasury and Federal Financing Bank Bonds

- **TIC SLT Part A (per country)**
 - Column 1

=

- **TIC SHLA (per country)**
 - Type of Issuer = 1 (Item 9)
 - Security Type = 8, 9, 10 (Item 10)
 - Term Indicator = 2 (Item 12)
 - Type of Foreign Holder = 1 (Item 15)
 - US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

U.S. Treasury and Federal Financing Bank Bonds

- **TIC SLT Part A (per country)**

- Column 2

=

- **TIC SHLA (per country)**

- Type of Issuer = 1 (Item 9)

- Security Type = 8, 9, 10 (Item 10)

- Term Indicator = 2 (Item 12)

- Type of Foreign Holder = 2 or 3 (Item 15)

- US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

Bonds of U.S. Government Corporations and Federally Sponsored Agencies

- **TIC SLT Part A (per country)**
 - Column 3

=

- **TIC SHLA (per country)**
 - Issuer Code = 1 (Item 4)
 - Type of Issuer = 2 (Item 9)
 - Security Type = 8, 9, 10, 12 (Item 10)
 - Term Indicator = 2 (Item 12)
 - Type of Foreign Holder = 1 (Item 15)
 - US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

Bonds of U.S. Government Corporations and Federally Sponsored Agencies

- **TIC SLT Part A (per country)**
 - Column 4 =
- **TIC SHLA (per country)**
 - Issuer Code = 1 (Item 4)
 - Type of Issuer = 2 (Item 9)
 - Security Type = 8, 9, 10, 12 (Item 10)
 - Term Indicator = 2 (Item 12)
 - Type of Foreign Holder = 2 or 3 (Item 15)
 - US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

U.S. Corporate and Other Bonds

- **TIC SLT Part A (per country)**

- Column 5

=

- **TIC SHLA (per country)**

- Issuer Code = 1 (Item 4)
- Type of Issuer = 3 or 4 (Item 9)
- Security Type = 7, 8, 9, 10, 11, 12 (Item 10)
- Term Indicator = 2 (Item 12)
- Type of Foreign Holder = 1 (Item 15)
- US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

U.S. Corporate and Other Bonds

- **TIC SLT Part A (per country)**
 - Column 6
- =
- **TIC SHLA (per country)**
 - Issuer Code = 1 (Item 4)
 - Type of Issuer = 3 or 4 (Item 9)
 - Security Type = 7, 8, 9, 10, 11, 12 (Item 10)
 - Term Indicator = 2 (Item 12)
 - Type of Foreign Holder = 2 or 3 (Item 15)
 - US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

U.S. Equities

- **TIC SLT Part A (per country)**
 - Column 7
- =
- **TIC SHLA (per country)**
 - Issuer Code = 1
 - Type of Issuer = 2 or 4 (Item 9)
 - Security Type = 1, 2, 3, 4 (Item 10)
 - Type of Foreign Holder = 1 (Item 15)
 - US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

U.S. Equities

➤ **TIC SLT Part A (per country)**

➤ Column 8

=

➤ **TIC SHLA (per country)**

➤ Issuer Code = 1

➤ Type of Issuer = 2 or 4 (Item 9)

➤ Security Type = 1, 2, 3, 4 (Item 10)

➤ Type of Foreign Holder = 2 or 3 (Item 15)

➤ US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

Bonds of U.S. Government Corporations and Federally Sponsored Agencies (Of Which ABS)

➤ TIC SLT Part A

- Column 3 Of Which: By Security Type, Asset-Backed Securities, Item Code 8999-1)

➤ TIC SHLA

=

- Issuer Code = 1
- Type of Issuer = 2 (Item 9)
- Security Type = 12 (Item 10)
- Term Indicator = 2 (Item 12)
- Type of Foreign Holder = 1 (Item 15)
- US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

Bonds of U.S. Government Corporations and Federally Sponsored Agencies (Of Which ABS)

➤ TIC SLT Part A

- Column 4 Of Which: By Security Type, Asset-Backed Securities, Item Code 8999-1)

=

➤ TIC SHLA

- Issuer Code = 1
- Type of Issuer = 2 (Item 9)
- Security Type = 12 (Item 10)
- Term Indicator = 2 (Item 12)
- Type of Foreign Holder = 2 or 3 (Item 15)
- US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

U.S. Corporate and Other Bonds (Of Which ABS)

➤ TIC SLT Part A

- Column 5 Of Which: By Security Type, Asset-Backed Securities, Item Code 8999-1

=

➤ TIC SHLA

- Issuer Code = 1
- Type of Issuer = 3 or 4 (Item 9)
- Security Type = 12 (Item 10)
- Term Indicator = 2 (Item 12)
- Type of Foreign Holder = 1 (Item 15)
- US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

U.S. Corporate and Other Bonds (Of Which ABS)

➤ TIC SLT Part A

- Column 6 Of Which: By Security Type, Asset-Backed Securities, Item Code 8999-1

=

➤ TIC SHLA

- Issuer Code = 1
- Type of Issuer = 3 or 4 (Item 9)
- Security Type = 12 (Item 10)
- Term Indicator = 2 (Item 12)
- Type of Foreign Holder = 2 or 3 (Item 15)
- US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

U.S. Equities (Of Which Fund Shares)

➤ TIC SLT Part A

- Column 7 Of Which: By Type of Security, Fund Shares, Item Code 8401-8

=

➤ TIC SHLA

- Issuer Code = 1 (Item 4)
- Type of Issuer = 2 or 4 (Item 9)
- Security Type = 3 and 4* (Item 10)
- Type of Foreign Holder = 1 (Item 15)
- US \$ Fair (Market) Value (Item 16)

* if the issuer functions as a fund

TIC SLT Compare to TIC SHLA

U.S. Equities (Of Which Fund Shares)

➤ TIC SLT Part A

- Column 8 Of Which: By Type of Security, Fund Shares, Item Code 8401-8

=

➤ TIC SHLA

- Issuer Code = 1 (Item 4)
- Type of Issuer = 2 or 4 (Item 9)
- Security Type = 3 and 4* (Item 10)
- Type of Foreign Holder = 2 or 3 (Item 15)
- US \$ Fair (Market) Value (Item 16)

* if the issuer functions as a fund

TIC SLT Compare to TIC SHLA

U.S. Treasury and Federal Financing Bank Bonds

- **TIC SLT Part B (per country)**
 - Column 1

=

- **TIC SHLA (per country)**
 - Type of Issuer = 1 (Item 9)
 - Security Type = 8, 9, 10 (Item 10)
 - Term Indicator = 2 (Item 12)
 - Type of Foreign Holder = 1 (Item 15)
 - US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

U.S. Treasury and Federal Financing Bank Bonds

- **TIC SLT Part B (per country)**
 - Column 2
- =
- **TIC SHLA (per country)**
 - Type of Issuer = 1 (Item 9)
 - Security Type = 8, 9, 10 (Item 10)
 - Term Indicator = 2 (Item 12)
 - Type of Foreign Holder = 2 or 3 (Item 15)
 - US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

Bonds of U.S. Government Corporations and Federally Sponsored Agencies

- **TIC SLT Part B (per country)**
 - Column 3

=

- **TIC SHLA (per country)**
 - Issuer Code = 2 (Item 4)
 - Type of Issuer = 2 (Item 9)
 - Security Type = 8, 9, 10, 12 (Item 10)
 - Term Indicator = 2 (Item 12)
 - Type of Foreign Holder = 1 (Item 15)
 - US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

Bonds of U.S. Government Corporations and Federally Sponsored Agencies

➤ **TIC SLT Part B (per country)**

➤ Column 4

=

➤ **TIC SHLA (per country)**

➤ Issuer Code = 2 (Item 4)

➤ Type of Issuer = 2 (Item 9)

➤ Security Type = 8, 9, 10, 12 (Item 10)

➤ Term Indicator = 2 (Item 12)

➤ Type of Foreign Holder = 2 or 3 (Item 15)

➤ US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

U.S. Corporate and Other Bonds

- **TIC SLT Part B (per country)**
 - Column 5

=

- **TIC SHLA (per country)**
 - Issuer Code = 2 (Item 4)
 - Type of Issuer = 3 or 4 (Item 9)
 - Security Type = 7, 8, 9, 10, 11, 12 (Item 10)
 - Term Indicator = 2 (Item 12)
 - Type of Foreign Holder = 1 (Item 15)
 - US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

U.S. Corporate and Other Bonds

- **TIC SLT Part B (per country)**
 - Column 6
- =**
- **TIC SHLA (per country)**
 - Issuer Code = 2 (Item 4)
 - Type of Issuer = 3 or 4 (Item 9)
 - Security Type = 7, 8, 9, 10, 11, 12 (Item 10)
 - Term Indicator = 2 (Item 12)
 - Type of Foreign Holder = 2 or 3 (Item 15)
 - US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

U.S. Equities

- **TIC SLT Part B (per country)**
 - Column 7
- =
- **TIC SHLA (per country)**
 - Issuer Code = 2
 - Type of Issuer = 2 or 4 (Item 9)
 - Security Type = 1, 2, 3, 4 (Item 10)
 - Type of Foreign Holder = 1 (Item 15)
 - US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

U.S. Equities

➤ **TIC SLT Part B (per country)**

➤ Column 8

=

➤ **TIC SHLA (per country)**

➤ Issuer Code = 2

➤ Type of Issuer = 2 or 4 (Item 9)

➤ Security Type = 1, 2, 3, 4 (Item 10)

➤ Type of Foreign Holder = 2 or 3 (Item 15)

➤ US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

Bonds of U.S. Government Corporations and Federally Sponsored Agencies (Of Which ABS)

➤ TIC SLT Part B

- Column 3 Of Which: By Security Type, Asset-Backed Securities, Item Code 8999-1)

=

➤ TIC SHLA

- Issuer Code = 2
- Type of Issuer = 2 (Item 9)
- Security Type = 12 (Item 10)
- Term Indicator = 2 (Item 12)
- Type of Foreign Holder = 1 (Item 15)
- US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

Bonds of U.S. Government Corporations and Federally Sponsored Agencies (Of Which ABS)

➤ TIC SLT Part B

- Column 4 Of Which: By Security Type, Asset-Backed Securities, Item Code 8999-1)

=

➤ TIC SHLA

- Issuer Code = 2
- Type of Issuer = 2 (Item 9)
- Security Type = 12 (Item 10)
- Term Indicator = 2 (Item 12)
- Type of Foreign Holder = 2 or 3 (Item 15)
- US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

U.S. Corporate and Other Bonds (Of Which ABS)

➤ TIC SLT Part B

- Column 5 Of Which: By Security Type, Asset-Backed Securities, Item Code 8999-1

➤ TIC SHLA

=

- Issuer Code = 2
- Type of Issuer = 3 or 4 (Item 9)
- Security Type = 12 (Item 10)
- Term Indicator = 2 (Item 12)
- Type of Foreign Holder = 1 (Item 15)
- US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

U.S. Corporate and Other Bonds (Of Which ABS)

➤ TIC SLT Part B

- Column 6 Of Which: By Security Type, Asset-Backed Securities, Item Code 8999-1

=

➤ TIC SHLA

- Issuer Code = 2
- Type of Issuer = 3 or 4 (Item 9)
- Security Type = 12 (Item 10)
- Term Indicator = 2 (Item 12)
- Type of Foreign Holder = 2 or 3 (Item 15)
- US \$ Fair (Market) Value (Item 16)

TIC SLT Compare to TIC SHLA

U.S. Equities (Of Which Fund Shares)

➤ TIC SLT Part B

- Column 7 Of Which: By Type of Security, Fund Shares, Item Code 8401-8



➤ TIC SHLA

- Issuer Code = 2 (Item 4)
- Type of Issuer = 4 (Item 9)
- Security Type = 3 and 4* (Item 10)
- Type of Foreign Holder = 1 (Item 15)
- US \$ Fair (Market) Value (Item 16)

* if the issuer functions as a fund

TIC SLT Compare to TIC SHLA

U.S. Equities (Of Which Fund Shares)

➤ TIC SLT Part B

- Column 8, Of Which: By Type of Security, Fund Shares, Item Code 8401-8

=

➤ TIC SHLA

- Issuer Code = 2 (Item 4)
- Type of Issuer = 4 (Item 9)
- Security Type = 3 and 4* (Item 10)
- Type of Foreign Holder = 2 or 3 (Item 15)
- US \$ Fair (Market) Value (Item 16)

* if the issuer functions as a fund

TIC SLT Compare to TIC SHC(A)

Foreign Government Bonds

- **TIC SLT Part A (per country)**
 - Column 10
 - =**
 - **TIC SHC(A) (per country)**
 - Security Type = 8, 9 or 10 (Item 7)
 - Term Indicator = 2 (Item 10)
 - Ownership Code = 2 through 6 (Item 13)
 - US \$ Fair (Market) Value (Item 14a)
- * SHC(A) does not have a field for type of foreign issuer.

TIC SLT Compare to TIC SHC(A)

Foreign Corporate and Other Bonds

- **TIC SLT Part A (per country)**

- Column 11

=

- **TIC SHC(A) (per country)**

- Security Type = 7, 8, 9 or 10 (Item 7)

- Term Indicator = 2 (Item 10)

- Ownership Code = 2 through 6 (Item 13)

- US \$ Fair (Market) Value (Item 14a)

* SHC(A) does not have a field for type of foreign issuer.

TIC SLT Compare to TIC SHC(A)

Foreign Equities

- **TIC SLT Part A (per country)**
 - Column 12

=

- **TIC SHC(A) (per country)**
 - Ownership Code = 2 through 6
 - Security Type = 1, 2, 3, or 4 (Item 7)
 - US \$ Fair (Market) Value (Item 14a)

TIC SLT Compare to TIC SHC(A)

Foreign Government Bonds (Of Which ABS)

➤ TIC SLT Part A

- Column 10, Of Which: By Security Type, Asset-Backed Securities, Item Code 8999-1

=

➤ TIC SHC(A) *

- Security Type = 10 (Item 7)
- Term Indicator = 2 (Item 10)
- Ownership Code = 2 through 6 (Item 13)
- US \$ Fair (Market) Value (Item 14a)

* SHC(A) does not have a field for type of foreign issuer.

TIC SLT Compare to TIC SHC(A)

Foreign Corporate and Other Bonds (Of Which ABS)

➤ TIC SLT Part A

- Column 11, Of Which: By Security Type, Asset-Backed Securities, Item Code 8999-1

=

➤ TIC SHC(A) *

- Security Type = 10 (Item 7)
- Term Indicator = 2 (Item 10)
- Ownership Code = 2 through 6 (Item 13)
- US \$ Fair (Market) Value (Item 14a)

* SHC(A) does not have a field for type of foreign issuer.

TIC SLT Compare to TIC SHC(A)

Foreign Equities (Of Which Fund Shares)

- **TIC SLT Part A (per country)**
 - Column 12, Of Which: By Type of Security, Fund Shares, Item Code 8401-8)

=

- **TIC SHC(A) (per country)**
 - Ownership Code = 2 through 6
 - Security Type = 3 and 4* (Item 7)
 - US \$ Fair (Market) Value (Item 14a)

* if the issuer functions as a fund

TIC SLT Compare to TIC SHC(A)

Foreign Government Bonds

- **TIC SLT Part B (per country)**
 - Column 10

=

- **TIC SHC(A) * (per country)**
 - Security Type = 8, 9 or 10 (Item 7)
 - Term Indicator = 2 (Item 10)
 - Ownership Code = 1 (Item 13)
 - US \$ Fair (Market) Value (Item 14a)

* SHC(A) does not have a field for type of foreign issuer.

TIC SLT Compare to TIC SHC(A)

Foreign Corporate and Other Bonds

➤ TIC SLT Part B

➤ Column 11

=

➤ TIC SHC(A) * (per country)

➤ Security Type = 7, 8, 9 or 10 (Item 7)

➤ Term Indicator = 2 (Item 10)

➤ Ownership Code = 1 (Item 13)

➤ US \$ Fair (Market) Value (Item 14a)

* SHC(A) does not have a field for type of foreign issuer.

TIC SLT Compare to TIC SHC(A)

Foreign Equities

- **TIC SLT Part B (per country)**
 - Column 12

=

- **TIC SHC(A) (per country)**
 - Ownership Code = 1
 - Security Type = 1, 2, 3, or 4 (Item 7)
 - US \$ Fair (Market) Value (Item 14a)

TIC SLT Compare to TIC SHC(A)

Foreign Government Bonds (Of Which ABS)

➤ TIC SLT Part B

- Column 10, Of Which: By Security Type, Asset-Backed Securities, Item Code 8999-1

=

➤ TIC SHC(A) *

- Security Type = 10 (Item 7)
- Term Indicator = 2 (Item 10)
- Ownership Code = 1 (Item 13)
- US \$ Fair (Market) Value (Item 14a)

* SHC(A) does not have a field for type of foreign issuer.

TIC SLT Compare to TIC SHC(A)

Foreign Corporate and Other Bonds (Of Which ABS)

➤ TIC SLT Part B

- Column 11, Of Which: By Security Type, Asset-Backed Securities, Item Code 8999-1

=

➤ TIC SHC(A) *

- Security Type = 10 (Item 7)
- Term Indicator = 2 (Item 10)
- Ownership Code = 1 (Item 13)
- US \$ Fair (Market) Value (Item 14a)

* SHC(A) does not have a field for type of foreign issuer.

TIC SLT Compare to TIC SHC(A)

Foreign Equities (Of Which Fund Shares)

- **TIC SLT Part B (per country)**
 - Column 12, Of Which: By Type of Security, Fund Shares, Item Code 8401-8)

=

- **TIC SHC(A) (per country)**
 - Ownership Code = 1
 - Security Type = 3 and 4* (Item 7)
 - US \$ Fair (Market) Value (Item 14a)

* if the issuer functions as a fund

TIC SLT Compare to TIC SHC(A) and SHL(A)

- TIC SLT items that have no corresponding fields on SHC(A) and SHL(A) and cannot be directly compared:
 - Type of Foreign Issuer for the country rows
 - Type of U.S. issuer for the Of Which rows
 - Type of U.S. holder for the Of Which rows