



**Reforming Culture and Behavior in the Financial Services Industry:  
Workshop on Progress and Challenges**

# **ATTENDEES**

**November 5, 2015**

## Industry

First Name	Last Name	Affiliation
Reena	Agrawal Sahni	Shearman & Sterling
Jim	Aramanda	The Clearing House
Lee	Augsburger	Prudential
Gregory	Baer	The Clearing House
Hu	Benton	American Bankers Association
Roger	Blissett	Institute of International Bankers
Jacques	Brand	Deutsche Bank
Jeffrey	Brodsky	Morgan Stanley
Derek	Bush	Cleary Gottlieb
Mark	Chauvin	TD Bank Group
Fannie	Chen	Davis Polk
H. Rodgin	Cohen	Sullivan & Cromwell
Sabrina	Cohen	UBS
Heather	Coleman	Sullivan & Cromwell
Michael	Corbat	Citigroup
Elizabeth	Corley	Allianz Global Investors
Alison	Cottrell	U.K. Banking Standards Board
John	Cryan	Deutsche Bank
Tanja	Cuppen	Rabobank
Stephen	Cutler	JPMorgan Chase
Luigi	De Ghenghi	Davis Polk
Diane	de Saint Victor	Barclays
Richard	Debs	Morgan Stanley
Elizabeth	Duke	Wells Fargo
Joe	Engelhard	MetLife
Sergio	Ermotti	UBS
Roger	Ferguson	TIAA-CREF & G30
Azish	Filabi	Ethical Systems, New York University
Michele	Fleming	CLS Bank International
Blair	Fleming	RBC
Joe	Gold	Barclays
Richard	Gold	M&T
Jeffrey	Goldstein	BNY Mellon
James	Gorman	Morgan Stanley
Geoff	Greener	Bank of America
Eric	Grossman	Morgan Stanley
Randall	Gynn	Davis Polk
Gerald	Hassell	BNY Mellon
Franz	Humer	Citigroup

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<b>First Name</b>	<b>Last Name</b>	<b>Affiliation</b>
Ann	Kappler	Prudential
Nicholas	Kourides	AIG
Slawomir	Krupa	Societe Generale
Nicholas	Le Pan	CIBC & G30
Paul	Lee	Debevoise & Plimpton
Mike	Loughlin	Wells Fargo
Gary	Lynch	Bank of America
Stuart	Mackintosh	G30
Bob	McCann	UBS
Denis	McInerney	Davis Polk
Sarah	Miller	Institute of International Bankers
Susan	Ochs	New America
Timothy	O'Hara	Credit Suisse
Alain	Papiasse	BNP Paribas
Gary	Parr	Lazard
Jim	Phalen	State Street
Daniel	Pinto	JPMorgan Chase
David	Portilla	Debevoise & Plimpton
Colin	Price	CoCompany and Heidrick & Struggles
Thomas	Reid	Davis Polk
Bill	Rhodes	Citigroup & G30
Michael	Roemer	Barclays
John	Rogers	Goldman Sachs
Thierry	Roland	HSBC
John	Romeo	Oliver Wyman & G30
Jim	Rosenthal	Morgan Stanley
Gregg	Rozansky	The Clearing House
John	Ryan	CIT
Pablo	Salame	Goldman Sachs
David	Sewell	Debevoise & Plimpton
David	Sidwell	UBS
Kristen	Silverberg	Institute of International Finance
Scott	Sleyster	Prudential
Andrea	Smith	Bank of America
David	Stephen	RBS
Linda	Thomsen	Davis Polk
John	Tiner	Credit Suisse
Marc	Trevino	Sullivan & Cromwell
Vic	Warnement	American Express
Jennifer	Warren	CIBC
Bill	Weldon	JPMorgan Chase

First Name	Last Name	Affiliation
James	Wiener	BNY Mellon
Lewis	Wirshba	Credit Suisse
Michael	Wiseman	Sullivan & Cromwell
Bill	Woodley	Deutsche Bank

## Official Sector

First Name	Last Name	Affiliation
Andreas	Adriano	International Monetary Fund
Alberto J.	Alonso de Linaje	Banco de España
Tomoko	Amaya	Financial Services Agency, Japan
Laura A.	Ard	The World Bank
Susan	Axelrod	FINRA
Gary	Barnett	U.S. Securities and Exchange Commission
Bjorn Christoph	Burger	BaFin
Diana	Capone	Banca d'Italia
Lawrence	Cheung	Hong Kong Monetary Authority
Robert	Colby	FINRA
Greg	Coleman	Office of the Comptroller of the Currency
James	Giles	U.S. Securities and Exchange Commission
Andrew	Hauser	Bank of England
Fahmi	Hosain	Australian Prudential Regulation Authority
Olivier	Jonglez	French Treasury
Emilia	Jurzyk	International Monetary Fund
Richard	Ketchum	FINRA
Christine	Lagarde	International Monetary Fund
Kam Him	Leung	Hong Kong Monetary Authority
María José	López Calvo	European Central Bank
Michael	Loretan	FINMA
Morris	Morgan	Office of the Comptroller of the Currency
Anjan	Mukherjee	U.S. Department of the Treasury
Wijnand	Nuijts	De Nederlandsche Bank
Lori	Quigley	Federal Deposit Insurance Corporation
Wieke	Scholten	De Nederlandsche Bank
Makoto	Sonoda	Japanese Ministry of Finance
John	Sutherland	Bank of England
Luis	Urrutia	Banco de Mexico
Frédéric	Visnovsky	ACPR
Xavier-Yves	Zanota	Basel Committee

## Federal Reserve System

First Name	Last Name	Affiliation
Lee	Alexander	FRB New York
Marilyn	Arbuthnott	FRB New York
Thomas	Baxter	FRB New York
Stein	Berre	FRB New York
Clive	Blackwood	FRB New York
James	Bohn	FRB Boston
William	Brodows	FRB New York
Stephanie	Chaly	FRB New York
LaVerne	Cornwell	FRB New York
Stanley	Crisp	FRB San Francisco
Jennifer	Crystal	FRB New York
Martha	Cummings	FRB New York
Teresa	Curran	FRB San Francisco
Sarah	Dahlgren	FRB New York
Jeanmarie	Davis	FRB New York
Dianne	Dobbeck	FRB New York
William	Dudley	FRB New York
Richard	Dzina	FRB New York
Stanley	Fischer	Federal Reserve Board
Caroline	Frawley	FRB New York
Martin	Grant	FRB New York
Dana	Green	FRB New York
Bettyann	Griffith	FRB New York
Jack	Gutt	FRB New York
Joyce	Hansen	FRB New York
Lauren	Hargraves	FRB New York
Michael	Held	FRB New York
James	Hennessy	FRB New York
Homer	Hill	FRB New York
Beverly	Hirtle	FRB New York
Michael	Hsu	Federal Reserve Board
Wendy	Kallery	FRB Chicago
Michael	Koh	FRB New York
Alex	LaTorre	FRB New York
Sandra	Lee	FRB New York
Andreas	Lehnert	Federal Reserve Board
Doug	Mandel	FRB Boston
Anand	Marri	FRB New York
Jamie	McAndrews	FRB New York

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Meg	McConnell	FRB New York
Jacqueline	McCormack	FRB New York
Hamid	Mehran	FRB New York
Susan	Mink	FRB New York
Radhika	Mithal	FRB New York
Daniel	Muccia	FRB New York
Helen	Mucciolo	FRB New York
Alberto	Musalem	FRB New York
Jim	Nelson	FRB Chicago
Michael	Nelson	FRB New York
Tom	Noone	FRB New York
Jamie	Pfeifer	FRB New York
Simon	Potter	FRB New York
Andrea	Priest	FRB New York
Bruce	Richards	FRB New York
John	Ricketti	FRB New York
Nancy	Riley	Federal Reserve Board
Joshua	Rosenberg	FRB New York
Denise	Schmedes	FRB New York
Rennie	Scinto	FRB New York
Teresa	Scott	Federal Reserve Board
Vandana	Sharma	FRB New York
Milt	Simpson	FRB San Francisco
Glen	Snajder	FRB New York
Michael	Solomon	Federal Reserve Board
Roseann	Stichnoth	FRB New York
Kevin	Stiroh	FRB New York
Michael	Strine	FRB New York
Katherine	TilghmanHill	FRB New York
Joseph	Tracy	FRB New York
Luis	Uranga	FRB New York
James	Wall	FRB New York
Michael	Walsh	FRB New York
Lisa	White	FRB Richmond
Suzanne	Williams	Federal Reserve Board