

# FEDERAL RESERVE BANK *of* NEW YORK

33 LIBERTY STREET, NEW YORK, NY 10045-0001

**Alex Santana**  
ASSISTANT VICE PRESIDENT

October 14, 2021

To: The Individuals Responsible for Preparing the Report of Assets and Liabilities of U.S. Branches and Agencies of Foreign Bank (FFIEC 002) and The Country Exposure Report for U.S. Branches and Agencies of Foreign Banks (FFIEC 019) Located in the Second Federal Reserve District

The following report forms and instructions for the September 30, 2021, reporting date have been posted to the Federal Reserve Board's website at [www.federalreserve.gov](http://www.federalreserve.gov) under "Reporting Forms":

- Report of Assets and Liabilities of U.S. Branches and Agencies of Foreign Banks (FFIEC 002);
- Supplemental Report of Assets and Liabilities of a Non-U.S. Branch that is Managed or Controlled by a U.S. Branch or agency of a Foreign (Non-U.S.) Bank (FFIEC 002S); and
- The Country Exposure Report for U.S. Branches and Agencies of Foreign Banks (FFIEC 019)

Forms and instructions for the quarterly Report of Assets and Liabilities of U.S. Branches and Agencies of Foreign Banks (FFIEC 002) and the quarterly Report of Assets and Liabilities of a Non-U.S. Branch that is Managed or Controlled by a U.S. Branch or Agency of a Foreign (Non-U.S.) Bank (FFIEC 002s) to be used for the September 30, 2021, report date will be available shortly on the Federal Financial Institutions Examination Council's (FFIEC) public website at <https://www.ffiec.gov/forms002.htm> and <https://www.ffiec.gov/forms002s.htm>, respectively.

A clarification has been made to the Excess Balance Account (EBA) Glossary Entry to align with the revised definition of "EBA" in Regulation D, by referring to balances maintained in "EBAs" as "balances" rather than as "excess balances" (see the [Initial Federal Register Notice](#) published on January 8, 2021 and the [Final Federal Register Notice](#) published on June 4, 2021). In addition, the FFIEC 002 report form and instructions have been revised to implement changes related to sweep deposits on Schedule O, Other Data for Deposit Insurance Assessments, Memorandum items 8.a through 8.d and 9 (see [FFIEC 002 May 2021 Letter](#)). There are no changes to the FFIEC 002S report form and instructions this quarter.

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Institutions may subscribe to the Federal Reserve Board e-mail notification service to receive alerts regarding report form and instruction updates at: <http://www.federalreserve.gov/reportforms/whats-new.htm>. These updates include changes related to proposed new and existing data collections and final reporting forms and instructions. The Federal Reserve Bank of New York also offers a subscription service which enables you to receive recent news and updates on our reporting forms and instructions and upcoming Bank events. You can sign up for this service at the following website: [http://service.govdelivery.com/service/subscribe.html?code=USFRBNEWYORK\\_8](http://service.govdelivery.com/service/subscribe.html?code=USFRBNEWYORK_8)

Reporting institutions are strongly encouraged to use the Federal Reserve System's Reporting Central application to electronically submit their completed FFIEC 002 and FFIEC 002s reports. The required file formats for submitting FFIEC 002 and FFIEC 002s data via Reporting Central are outlined in the Reporting Central User Guides, which can be found at <https://www.frbservices.org/central-bank/reporting-central/user-guides.html>. If you have any questions regarding Reporting Central, reporting institutions should contact their Reporting Central District Contact at <https://www.frbservices.org/contacts/index.jsp>.

### **Reports Submission**

The submission deadline for **FFIEC 002 and FFIEC 002S** filers is Monday, November 1, 2021. Any **FFIEC 002 or FFIEC 002S** report received after 5:00 p.m. on November 1, 2021 will be considered late.

The submission deadline for **FFIEC 019** filers is Monday, November 15, 2021. Any **FFIEC 019** reports received after 5:00 p.m. on November 15, 2021 will be considered late.

If you are unable to submit the FFIEC 002 or FFIEC 002S via Reporting Central, please email a PDF of the September 30, 2021 report(s) mentioned above to the contacts listed below:

**FFIEC 002/002S:** Robert Diakun ([robert.diakun@ny.frb.org](mailto:robert.diakun@ny.frb.org))

**FFIEC 019:** Jorge Mancebo ([jorge.mancebo@ny.frb.org](mailto:jorge.mancebo@ny.frb.org))

We will also continue to monitor the accuracy of the regulatory reports submitted for the September 30, 2021 report date. The staff of this Reserve Bank will monitor whether branches and agencies are meeting their basic reporting requirements through the use of "validity edits."

Questions regarding the submission of the FFIEC 002 and submission/ reporting requirements of the FFIEC 002S reports should be directed to Robert Diakun, Associate, at [robert.diakun@ny.frb.org](mailto:robert.diakun@ny.frb.org) or (212) 720-2327.

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Questions regarding the reporting requirements of the FFIEC 002 report should be directed to Greg Exil, Associate at [greg.exil@ny.frb.org](mailto:greg.exil@ny.frb.org) or (212) 720-5199, or Jessica Crawford-Eka, Manager, at [jessica.crawford-eka@ny.frb.org](mailto:jessica.crawford-eka@ny.frb.org) or (212) 720-5862.

Questions regarding the FFIEC 019 report should be directed to Jorge Mancebo, Analyst, at [jorge.mancebo@ny.frb.org](mailto:jorge.mancebo@ny.frb.org) or (212) 720-2158.

Sincerely,